PTO/S8/08a/b (05-03)
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Sub	stitute for form 1449/P1	ro		Complete if Known			
000	3200 101 101111 144011			Application Number	09/955,680 - 1266		
11	NFORMATIO	ON DISC	LOSURE	Filing Date	September 19, 2001		
S	TATEMEN	R BY AP	PLICANT	First Named Inventor	Michael J. O'Neil		
Ū				Art Unit	3738		
	(use as man)	sheets as nece	ssary)	Examiner Name	D. Isabella		
Sheet	1	of	1	Attorney Docket Number	101896-0031		

			U.S. PA	TENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
WED		5,888,245	03-30-1999	Meulink et al.	
علا		2002/0138079	09-26-2002	Cohen	<u> </u>

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Examiner Initials*	No.		Date MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T <sup>6</sup>
ac	5	DE 200 06 515 U1	10-08-2000	Gobit GmbH		
T T		EP 1 153 582 A2	14-11-2001	DePuy AcroMed, Inc.		
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		WO 99/29253	17-06-1999	Surgical Navigation Technologies, Inc.		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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		Complete if Known
	Application Number	09/955,680-1266
	Filing Date	September 19, 2001
	First Named Inventor	Michael J. O'Neil
	Group Art Unit	3738
	Examiner Name	David J. Isabella
	Attorney Docket Number	101896-31

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Exam iner		Document Number		Name of Patentee or Applicant	Pages, Columns, Lines,	1
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1	AB	5,246,458	09/21/1993	Graham		1
	AC	5,306,309	04/26/1994	Wagner et al.		1
	AD	5,431,658	07/11/1995	Moskovich		]
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	AH	5,683,465	11/04/1997	Shinn et al.	<u> </u>	1
	Al	5,893,889	04/13/1999	Harrington		1
	AJ	6,059.790	05/09/2000	Sand et al.	<u> </u>	1
	AK	4,599,086	07/08/1986	Doty		1
$\rightarrow$	AL	4,743,256	05/10/1988	Brantigan	¥	1
$\dashv$	AM	4,878,915	11/07/1989	Brantigan	<del>                                     </del>	1
	AN	5,397,364	03/14/1995	Kozak et al.	- w	1
	AO	5,425,772	06/20/1995	Brantigan		h
_	AP	5,443,515	08/22/1995	Cohen et al.	6	8
$\dashv$	AQ	5,522,899	06/04/1996	Michelson		٦ĩ
$\perp$	AR	5,607,424	03/04/1997	Tropiano	- 3 - 5	ŀ
	AS	5,658,335	08/19/1997	Allen	1	ł.
	AT	5,716,415	02/10/1998	Steffee		₽
	AU	5,755,798	05/26/1998	Papavero et al.	7. O	ド
Ц.,	AV	5,766,252	06/16/1998	Henry et al.	<del> </del>	1
$\bot$	AW	5,782,832	07/21/1998	Larsen et al.		1
+	AX	5,800,550	09/01/1998	Sertich	*	1
+-	AY AZ	5,824,094	10/10/1998	Serhan et al. Tienboon		┨
+-	AA1	5,861,041 5,888,228	01/19/1999 03/30/1999	Knothe et al.		┨
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_	AD1	5,964,807	10/12/1999	Gan et al.		1
	AE1	5,976,187	11/02/1999	Richelsoph		1
	AF1	6,019,793	02/01/2000	Perren et al.		1
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$I^{-}$	AH1	6,080,193	06/27/2000	Hochshuler et al.		J
	Al1	6,093,205	07/25/2000	McLeod et al.		1
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	AK1	6,113,638	09/05/2000	Williams et al.		1
1	AL1	6,120,503	09/19/2000	Michelson		1
H	AM1	6,156,037	12/05/2000	LeHuec et al.		1
-	AN1	6,176,882	01/23/2001	Biedermann et al.	<del></del>	1
-	AO1	6,168,596	01/02/2001	Wellisz	<del>- </del>	1
<del> </del>		<del></del>	<del> </del>	·		1
<b>\</b>	AP1	4,272,855	06/16/1981	Frey		1
1	AQ1	4,349,921	09/21/1982	Kuntz	<u> </u>	4
$\lambda L$	AR1	4,627,853	12/09/1986	Campbell et al.		-
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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		Complete If Known	
	Application Number	09/955,680-1266	
	Filing Date	September 19, 2001	
	First Named Inventor	Michael J. O'Neil	
	Group Art Unit	3738	
	Examiner Name	David J. Isabella	
-	Attorney Docket Number	101896-31	

<u> </u>													
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60	AT1	4,685,919	08	/11/1987		Niwa et	al.						
	AU1	4,834,757	05	/30/1989		Brantig	an						
	AV1	4,950,296	08	/21/1990		McIntyr							
	AW1	5,053,049	10	/01/1991		Campb	ell						
	AX1	5,092,893	03	/03/1992		Smith							
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	AE2	5,443,514		/22/1995		Steffee							
Ш	AF2	5,514,180		/07/1996			ess et al.				<u>i</u>	ਨ	
	AG2	5,534,030		/09/1996		Navarro					1		
	AH2	5,556,379		/17/1996		Wolfinb						<u>ω</u>	
	AI2	5,609,635		<u>/11/1997</u>		Michels							
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	AK2	5,658,337		/19/1997		Kohrs e	t al.					- 5	
$\Box$	AL2	5,702,449	_	/30/1997		McKay							
	AM2	5,702,455	12	/30/1997		Saggar							##
	AN2	5,722,977	03	/03/1998		Wilhelm						R00M	
	AO2	5,725,579		/10/1998		Fages 6						≘	
$\square$	AP2	5,728,159	03	/17/1998		Stroeve							
$\Box$	AQ2	5,741,253	04	/21/1998		Michels							
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	AS2	5,776,199		/07/1998		Michels							
	AT2	5,785,710	_	/28/1998		Michels							
	AU2	5,797,871		/25/1998			arger, Jr.						_
Щ	AV2	5,814,084		/29/1998		Grivas							
$\coprod$	AW2	5,820,581		/13/1998			arger, Jr.						
Ш	AX2	5,865,845		/02/1999		Thalgot							
Щ	AY2	5,888,222	-	/30/1999		Coates	et al.						
<del>  _     _     _  </del>	AZ2	5,888,227		/30/1999		Cottle							_
	AA3	5,897,593		/27/1999		Kohrs e	t al.						
$\Box$	AB3	5,972,368	$\rightarrow$	/26/1999		McKay							
H	AC3	5,989,289		/23/1999		Coates							
	AD3	6,143,033		/07/2000		Paul et							
H	AE3	4,034,746		/12/1977		William							$\dashv$
H	AF3	4,337,576		/06/1982		Drost e	ı aı.			<u> </u>			
1	AG3	4,369,788	_	/25/1983		Goald							
H-	AH3	4,444,184		/24/1984		Oretorp				<del> </del>			
1	A13	4,545,374		/08/1985		Jacobs							
1	AJ3	4,660,287		/28/1987		Decker				<del> </del>			
	AK3	4,730,613		/15/1988		Gordy				<del> </del> -			
	AL3	4,735,202		/05/1988		William				<del> </del>			$\dashv$
	AM3	4,772,287	Lua	/20/1988		Ray et	dI.			L			

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Complete if Known Substitute for form 1449B/PTO Application Number 09/955,680-1266 INFORMATION DISCLOSURE STATEMENT BY APPLICANT Filing Date September 19, 2001 First Named Inventor Michael J. O'Neil 3738 Group Art Unit

(use as many sheets as necessary)

David J. Isabella Examiner Name 3 of 5 101896-31 Attorney Docket Number

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W	AN3	4,997,432	03/05/1991	Keller	<del></del>	٦
T	AO3	5,019,081	05/28/1991	Watanabe		٦
$\sqcap$	AP3	5,020,519	06/04/1991	Hayes et al.		٦
П	AQ3	5,055,104	10/08/1991	Ray		٦
П	AR3	5,059,194	10/22/1991	Michelson		٦
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П	AT3	5,213,112	05/25/1993	Niwa et al.		7
	AU3	5,254,128	10/19/1993	Mesa		
$\Box$	AV3	5,292,329	03/08/1994	Werner		7
$\Box$	AW3	5,306,309	04/26/1994	Wagner et al.	3	]
$\Box$	AX3	5,314,477	05/24/1994	Marnay		brack  brack
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Ш	AZ3	5,423,843	06/13/1995	Werner	7	IJ
Ш	AA4	5,431,658	07/11/1995	Moskovich	0 6	<u>၂</u>
Ц.	AB4	5,431,672	07/11/1995	Cote et al.	7 1	┙
Щ	AC4	5,443,514	08/22/1995	Steffee	D	
Ш	AD4	5,481,804	01/09/1996	Platts	IL 80	ᆲ
Ш	AE4	5,484,437	01/16/1996	Michelson		3
Ц.	AF4	5,496,340	03/05/1996	Abidin et al.	6	┙
Ц_	AG4	5,015,247	05/14/1991	Michelson		┛
Щ	AH4	5,122,130	06/16/1992	Keller		╛
Щ.	Al4	5,139,507	08/18/1992	Dolgin et al.	,	4
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Ш.	AL4	5,562,736	10/08/1996	Ray et al.		4
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Ц_	AP4	5,599,351	02/04/1997	Haber et al.		4
Н	AQ4	5,620,454	04/15/1997	Pierce et al.		4
Н	AR4	5,645,597	07/08/1997	Krapiva		4
<del>                                      </del>	AS4	5,665,099	09/09/1997	Pilo et al.		4
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Н—	AY4	5,752,968 5,766,252	05/19/1998	Jolly et al.		$\dashv$
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Н—	AA5	5,782,830	07/14/1998	Farris		$\dashv$
╫	AB5	5,792,162	08/11/1998	Jolly et al.		$\dashv$
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├ <del>┤</del>	AD5	5,827,309	10/27/1998	Jolly et al.		$\dashv$
<del>                                     </del>	AE5	5,868,771	02/09/1999	Herbert et al.		$\dashv$
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	-	Complete If Known		
	Application Number	09/955,680-1266		
ŀ	Filing Date	September 19, 2001		
	First Named Inventor	Michael J. O'Neil		
	Group Art Unit	3738		
	Examiner Name	David J. Isabella		
	Attorney Docket Number	101896-31		

11	MAH5	5,888,224	03/30/1999	Beckers et al.		
	Al5	5,899,901	05/04/1999	Middleton		
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П	AL5	6,004,326	12/21/1999	Castro et al.		
П	AM5	6,015,419	01/18/2000	Strome et al.		
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$\Box$	AT5	6,083,228	07/04/2000	Michelson		<u>                                      </u>
$\Box$	AU5	6,096,038	08/01/2000	Michelson		ROOM
П	AV5	6,113,602	09/05/2000	Sand		Q*
П	AW5	6,117,174	09/12/2000	Nolan	1.	
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Щ	AE6	6,080,155	06/27/2000	Michelson		
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Cite No. Examiner Initials item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published. Depuy, "Keystone Graft Instruments Anterior Cervical Surgical Technique," Technical Manual, WJ Motech Examiner Considered Signature

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